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Patent  
Attorney's Docket No. 033297-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Lynn D. Crawford, et al.

Application No.: 09/882,823

Filed: June 15, 2001

For: BALLOON OCCLUSION DEVICE  
HAVING A PROXIMAL VALVE

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)  
) Group Art Unit: 3731

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) Examiner: Unassigned  
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**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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By:

  
Kelly J. McCrystle

Registration No. 46,257

Date: August 28, 2001



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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

**U.S. PATENTS**

U.S. Patent No. 3,467,101 issued to Fogarty, et al. on September 16, 1969  
U.S. Patent No. 4,811,737 issued to Rydell on March 14, 1989  
U.S. Patent No. 4,846,174 issued to Willard, et al. on July 11, 1989  
U.S. Patent No. 4,946,466 issued to Pinchuk, et al. on August 7, 1990  
U.S. Patent No. 5,807,330 issued to Teitelbaum on September 15, 1998  
U.S. Patent No. 5,833,644 issued to Zadro-Azizi, et al. on November 10, 1998  
U.S. Patent No. 5,833,650 issued to Imran on November 10, 1998  
U.S. Patent No. 5,893,867 issued to Bagaoisan, et al. on April 13, 1999  
U.S. Patent No. 6,015,402 issued to Sahota on January 18, 2000  
U.S. Patent No. 6,022,336 issued to Zadro-Azizi, et al. on February 8, 2000  
U.S. Patent No. 6,050,972 issued to Zadno-Azizi, et al. on April 18, 2000  
U.S. Patent No. 6,068,623 issued to Zadno-Azizi, et al. on May 30, 2000  
U.S. Patent No. 6,102,891 issued to Maria van Erp issued on August 15, 2000

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U.S. Patent No. 6,135,991 issued to Muni, et al. on October 24, 2000  
U.S. Patent No. 6,190,332 B1 issued to Muni, et al. on February 20, 2001  
U.S. Patent No. 6,217,567 B1 issued to Zadno-Azizi, et al. on April 17, 2001  
U.S. Patent No. 6,228,072 B1 issued to Omaleki, et al. on May 8, 2001  
U.S. Patent No. 6,231,588 B1 issued to Zadno-Azizi on May 15, 2001  
U.S. Patent No. 6,234,996 B1 issued to Bagaoisan, et al. on May 22, 2001  
U.S. Patent No. 6,252,909 B1 issued to Tzannes, et al. on June 26, 2001  
U.S. Patent No. 6,270,477 B1 issued to Bagaoisan, et al. on August 7, 2001  
U.S. Patent No. 6,273,878 B1 issued to Muni on August 14, 2001

FOREIGN PATENTS

PCT Publication No. WO 88/00844 issued on February 11, 1988

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: \_\_\_\_\_

Kelly J. McCrystle  
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Alexandria, Virginia 22313-1404  
(650)622-2300

Date: August 28, 2001

Substitute for form 1449A/PTO

ATTORNEY'S DKT NO.

033297-005

APPLICATION NO.

09/882,823

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANT

Lynn D. Crawford, et al.

FILING DATE

June 15, 2001

GROUP

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U.S. PATENT DOCUMENTS						
Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)		
	Number	Kind Code (if known)				
	3,467,101		Fogarty, et al.	September 16, 1969		
	4,811,737		Rydell	March 14, 1989		
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	5,833,650		Imran	November 10, 1998		
	5,893,867		Bagaoisan, et al.	April 13, 1999		
	6,015,402		Sahota	January 18, 2000		
	6,022,336		Zadro-Azizi, et al.	February 8, 2000		
	6,050,972		Zadno-Azizi, et al.	April 18, 2000		
	6,068,623		Zadno-Azizi, et al.	May 30, 2000		
	6,102,891		Maria van Erp	August 15, 2000		
	6,135,991		Muni, et al.	October 24, 2000		
	6,190,332	B1	Muni, et al.	February 20, 2001		
	6,217,567	B1	Zadno-Azizi, et al.	April 17, 2001		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	WO 88/00844		PCT	February 11, 1988	x	
NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
Examiner Signature				Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.